

Dkt. 43016-D/JPW/FHB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Philip O. Livingston and Friedhelm Helling

Serial No. : 08/477,147

Filed : June 7, 1995

For : GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS QS-21

1185 Avenue of the Americas New York, New York 10036

November 8, 2001

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

FOURTH COMMUNICATION REQUESTING CORRECTED FILING RECEIPT

This Communication is filed to request a corrected Filing Receipt in connection with the above-identified application. Upon receipt of the official Filing Receipt for the subject application, applicants' undersigned attorney noticed an error. Specifically, the following incorrect title now appears:

-- GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS OS-21--

a corrected Filing Receipt should read as follows:

--GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS QS-21--

Applicants

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Serial No.

08/477,147

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Page 2

A copy of the original filing receipt with the changes noted thereon is attached hereto as **Exhibit A**. The correct title may be found in the Declaration and Power of Attorney, which was filed with the U.S. Patent and Trademark Office on September 1, 1995. A copy of the Declaration and Power of Attorney is attached hereto as **Exhibit B**. According, applicants request that a corrected filing receipt be issued.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination Customer Service Center Washington, D.C. 2023(1)

John P. White

Date

01

Reg. No. 28,678

Spender H. Schneider

Reg. No. 45,923

John 📐 White

Registration No. 28,678
Attorneys for Applicant(s)
Cooper & Dunham, LLP
1185 Avenue of the Americas
New York, New York 10036

(212) 278-0400



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
08/477,147	96.67 TE 9950	6	0.00	43016- D/JPW/	26	22	.1
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		L	DOGNET GEENN	***************************************	D	ate Mailed:	07/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an err r is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

PHILIP O. LIVINGSTON, NEW YORK, NY; FRIEDHELM HELLING, NEW YORK, NY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/US94/00757 01/24/1994 WHICH IS A CIP OF 08/009,268 01/22/1993 ABN

F reign Applications

If Required, Foreign Filing License Granted 10/05/1995

Projected Publication Date: N/A

N n-Publication Request: No

Early Publication Request: No

Title

GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS OS, 21

Q5-21

Preliminary Class

Data entry by : YOUNG, MONICA

Team : OIPE

Date: 07/23/2001

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Beclaration and Power of Attorney

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

GANGLIOSLIDE-KLH CONJUGATE VACCINE PLUS QS-21

the specification (lcheck one)	of water		
	is attached here	to.	
	<u>X</u> was filed on	June 7, 1995	as
	Application Serial No.	. 08/477,147	
,	and was amended on	(if applicable)	•
identified specific to above.	cation, including the cla	ed understand the conten iims, as amended by any ar	
to the examination Regulations, Section	ion 1.56(a).	ation of which I am aware accordance with Title 37	
of any foreign app	plication(s) for patent of	der Title 35, United States inventor's certificate list on for patent or inventor's on on which priority is cl	certificate having
Prior Foreign App Number	·	Filing Date	Priority Claimed <u>Yes No</u>
N/A			

I hereby claim the benefit under Title 35. United States Code. Section 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35. United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37. Code of Federal Regulations. Sections 1.36(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Sigius
PCT/US94/00757	January 21, 1994	pending
08/009,268	January 22, 1993	atandoned
And I hereby appoint John P. White (Reg. No. 28,67 Norman H. Zivin (Reg. No. 25, Christopher C. Dunham (Reg. No. 30,1 Robert D. Katz (Reg. No. 30,1 Richard S. Milner (Reg. No. 3 Albert Wai-Kit Chan (Reg. No. 3 Robert T. Maldonado (Reg. No. 3 and each of them all c/o Cooper York, New York 10036 my att to prosecute this application to ma to transact all business in the Patany-International-Applications whice Cooperation Treaty.	(a) (b) (c) (c) (d) (d) (d) (e) (e) (e) (e) (e) (e) (e) (e) (e) (e	G. Carulli (Reg. No. 30,616); lips (Reg. No. 29,691);
statements made on information is statements were made with the kn are punishable by fine or imprison States Code and that such will application or any patent issued the Full name of sole or philip first joint inventor Inventor's signature Citizenship United States Residence 156 East 79th Sta	is made herein of my and belief are believed sowledge that willful forment, or both, under Sful false statements for ereon. Ordway Livingston Date of the Control of the	own knowledge are true and that all to be true: and further that these alse statements and the like so made ection 1001 of Title 18 of the United may jeopardize the validity of the York 10021
Post Office Address same as	residence address	·

Inventor's sig	enature	Incolne lu	Helling	
			Date of signature	8/22/95
Residence	504 Ea	ast 63rd Street,	Apartment 25N, New York,	New York 10021